

3624

<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>				<b>Docket No.</b> P6340	
Applicant(s): Peng Chen et al					

Application No. 10/091,046	Filing Date March 5, 2002	Examiner Subramanian, Narayanswamy	Customer No. 28465	Group Art Unit 3624	Confirmation No. 6492
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Invention: **AUTOMATICALLY ALLOCATING AND REBALANCING DISCRETIONARY PORTFOLIOS**

**COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

☒ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	50 -	88 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	9 -	9 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>

☒ No additional fee is required for amendment.  
☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.  
☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2284
 

☒ Any additional filing fees required under 37 C.F.R. 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**R. Blake Johnston**  
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Dated: August 10, 2005

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

August 10, 2005

(Date)

Signature of Person Mailing Correspondence

**Stephanie Warner-Wallace**

Typed or Printed Name of Person Mailing Correspondence

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit	: 3624	Customer No.: 035811
Examiner	: Subramanian, Narayanswamy	
Serial No.	: 10/091,046	
Filed	: March 5, 2002	
Inventor	: Peng Chen et al.	Docket No.: P6340
Title	: AUTOMATICALLY ALLOCATING AND	
	: REBALANCING DISCRETIONARY	Confirmation No.: 6492
	: PORTFOLIOS	

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**AMENDMENT**

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Official Action mailed May 12, 2005, Applicants respond as follows: